IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit 3693

Examiner: Sara M. Chandler

Application of:

Lawrence P. Shields et al.

Filed:

November 2, 2001

Serial No.

10/003,613

Title

SYSTEM AND METHOD FOR

IMPLEMENTING EMPLOYEE

STOCK PLANS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

AMENDMENT

In response to the Office Action dated June 5, 2007, please amend the above-identified application as follows:

Listing of the Claims begins on page 2 of this paper.

Remarks begin on page 19 of this paper.